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## On a Zakharov-type Equation for Alfvén waves

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2 Well posedness of the IVP

3 Solitary waves





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Introduction	Well posedness of the IVP	Solitary waves	The adiabatic limit	Proof
Alfvén V	Vaves			

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• In the presence of an external magnetic field, transverse oscillations of the magnetic field lines known as Alfvén waves can be observed in several magnetised plasmas.

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- The Dynamics of Alfvén waves are ruled by the so-called MHD equations.
- The MHD equations read:

$$\begin{cases} \partial_t \rho_M + \nabla .(\rho_M \mathbf{u}) = 0\\ \rho_M (\partial_t \mathbf{u} + \mathbf{u} . \nabla \mathbf{u}) = -\frac{\beta}{\gamma} \nabla (\rho_M^{\gamma}) + (\nabla \times \mathbf{b}) \times \mathbf{b}\\ \partial_t \mathbf{b} = \nabla \times (\mathbf{u} \times \mathbf{b}) - \frac{1}{R_i} \nabla \times (\frac{1}{\rho_M} (\nabla \times \mathbf{b}) \times \mathbf{b})\\ \nabla . \mathbf{b} = 0, \end{cases}$$

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where **b** is the magnetic field,  $\rho$  the density of mass and **u** the fluid speed.

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We present here a uni-dimensional asymptotic model for the evolution of wave trains of Alfvén waves with wave number k and frequency  $\tilde{\omega}$ , in a frame travelling at the Alfvén-wave group velocity  $v = 2\tilde{\omega}^3 k^{-1} (k^2 + \tilde{\omega}^2)^{-1}$ .



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$$\begin{cases} i\partial_T B + \omega \partial_{XX} B - k(u - \frac{v}{2}\rho + q|B|^2)B = 0 \quad (a) \\ \epsilon \partial_T \rho + \partial_X (u - v\rho) = -k\partial_X |B|^2 \quad (b) \\ \epsilon \partial_T u + \partial_X (\beta \rho - vu) = \frac{k}{2} v \partial_X |B|^2 \quad (c), \end{cases}$$

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$$(X, T) \text{ has been scaled: } X = \epsilon(x - vt) \text{ and } T = \epsilon^2 t.$$

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*B* is the transverse magnetic field, *u* is the ion speed in the (*Ox*) direction and  $\rho$  the density of mass.

We obtain here the Zakharov-Rubenchik equation, introduced as an universal model for the interaction of long and short waves (1972).

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#### Theorem 1

The Zakharov-Rubenchik system is globally well-posed in  $H^2(\mathbb{R}) \times H^1(\mathbb{R}) \times H^1(\mathbb{R})$ .

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$$\begin{cases} iB_t + B_{xx} + \psi_1 B + \psi_2 B + |B|^2 B = 0\\ \psi_{1tt} - \psi_{1xx} = |B|^2_{xx}\\ \psi_{2t} - \psi_{2x} = |B|^2_x \end{cases}$$
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For  $\psi_1 \equiv 0$ , we get the Zakharov Equation.

For  $\psi_2 \equiv 0$ , the system reduces to the Benney Equation.

$$\begin{cases} iB_t + B_{xx} + \psi_1 B + \psi_2 B + |B|^2 B = 0\\ \psi_{1tt} - \psi_{1xx} = |B|^2_{xx}\\ \psi_{2t} - \psi_{2x} = |B|^2_x \end{cases}$$
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For  $\psi_2 \equiv 0$ , the system reduces to the Benney Equation.

The difficulty here is the derivative loss in the nonlinear terms.

The system can be re-written without derivative-loss:  $[F \rightarrow B_t]$ :

$$\begin{cases} iF_t + F_{xx} + (\psi_1 + \psi_2 + B)F \\ + (\psi_{1t} + \psi_{2t} + \overline{F}\tilde{B})\tilde{B} = 0 \\ \psi_{1tt} - \psi_{1xx} = |B|_{xx}^2 \\ \psi_{2t} - \psi_{2x} = |B|_x^2, \end{cases}$$

where

$$\begin{split} \tilde{B}(x,t) &= B_o(x) + \int_0^t F(x,s) ds \\ B(x,t) &= (\Delta-1)^{-1} \mathcal{A}(F,\psi_1,\psi_2,\tilde{B}). \end{split}$$

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Using Strichartz-type estimates for the free Schrödinger group, we can now obtain the existence of local (strong) solutions via a fixed-point in the Banach space

$$\begin{aligned} \|(F,\psi_1,\psi_2)\|_{X(T)} &= \|F\|_{L^{\infty}(0,T,L^2)} + \|F\|_{L^6(0,T,L^6)} \\ &+ \|\psi_1\|_{L^{\infty}(0,T,H^1)} + \|\psi_2\|_{L^{\infty}(0,T,H^1)} \\ &+ \|\psi_{1t}\|_{L^{\infty}(0,T,L^2)} + \|\psi_{2t}\|_{L^{\infty}(0,T,L^2)}. \end{aligned}$$

To obtain global solutions, we need to compute some invariants:

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The following quantities are conserved by the Zakharov-Rubenchik flow:

$$\begin{split} I_1(t) &= \int_{\mathbb{R}} |B|^2 \\ I_2(t) &= \frac{\omega}{2} \int_{\mathbb{R}} |B_x|^2 + \frac{kq}{4} \int_{\mathbb{R}} |B|^4 + \frac{k}{2} \int_{\mathbb{R}} (u - \frac{v}{2}\rho) |B|^2 \\ &+ \frac{\beta}{4} \int_{\mathbb{R}} |\rho|^2 + \frac{1}{4} \int_{\mathbb{R}} |u|^2 - \frac{v}{2} \int_{\mathbb{R}} u\rho, \\ I_3(t) &= \epsilon \int_{\mathbb{R}} u\rho + \frac{i}{2} \int_{\mathbb{R}} (B\overline{B_x} - B_x\overline{B}). \end{split}$$

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Using these quantities, One can show the a priori estimation

$$\forall t \leq T, \, \|(F,\psi_1,\psi_2)\|_{X(T)} \leq D(T),$$

where D is a continuous function. This is enough to prove that the solutions ares global (absence of blow-up)

### Existence of solitary-wave solutions

We look for solutions of the form

$$Q_c(x,t) = (e^{i\lambda t}A(x-ct), a|A(x-ct)|^2, b|A(x-ct)|^2).$$

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Inserting this in the ZR equation, we find that  $Q_c(t)$  is a solution iff  $R(x) = e^{\frac{-icx}{2\omega}}A(x)$  satisfies

$$R''-ER-(rac{k}{\omega}a-rac{v}{2}b+q)R^2R=0,$$

where  $E = \frac{1}{w} (\lambda - \frac{c^2}{4\omega})$  and

$$a = a(c) = \frac{k(-\beta + \frac{v}{2}(c\epsilon + v))}{\beta - (c\epsilon + v)^2}, \ b = b(c) = \frac{k(-c\epsilon - \frac{v}{2})}{\beta - (c\epsilon + v)^2}.$$

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For E > 0, it is known that

$$R'' - ER - (\frac{k}{\omega}a - \frac{v}{2}b + q)R^2R = 0$$

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possesses a unique positive exponential decreasing solution, provided that  $a - \frac{v}{2}b + q < 0$ . This last condition holds provided that  $\epsilon$  is small enough. Hence: For E > 0, it is known that

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#### Existence of ground states

For  $\epsilon$  small enough, the Zakharov-Rubenchik system possesses soliton solutions.

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## Orbital Stability of ground states

We introduce the orbit  $Q = (B, u, \rho) \in H^1 imes L^2 imes L^2$  :

$$\mathcal{O}(Q) = \{ e^{i\alpha} B(.+x_o), u(.+x_o), \rho(.+x_o)/\alpha, x_o \in \mathbb{R} \}.$$

We set the distance between the orbit  $\mathcal{O}(Q)$  and the orbit of a ground state  $\mathcal{O}(Q_R)$  at a time *t*:

$$d(t) := d(Q(t), Q_R(t)) := \inf \alpha, x_o\{ \|Q_{\alpha, x_o}(t) - Q_R(t)\|\}.$$

By building an appropriate Lyapunov invariant, we can prove the following:

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Orbital stability

For every  $\epsilon > 0$  , there exists  $\delta > 0$  such that

$$\|Q_o - Q_R(0)\| < \delta \Rightarrow \forall t \ge 0 \ , \ d(t) < \epsilon.$$

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## The adiabatic limit

In the adiabatic limit ( $\epsilon \rightarrow 0$ ):

$$\begin{split} &i\partial_T B + \omega \partial_{XX} B - k(u - \frac{v}{2}\rho + q|B|^2)B = 0 \ \text{(a)} \\ &\epsilon \partial_T \rho + \partial_X (u - v\rho) = -k\partial_X |B|^2 \ \text{(b)} \\ &\epsilon \partial_T u + \partial_X (\beta \rho - vu) = \frac{k}{2} v \partial_X |B|^2 \ \text{(c)}. \end{split}$$

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$$B^{(\epsilon)} \to B$$
? If so, in what sense?

### Main Theorem

Assume 
$$\tilde{\omega} < 0$$
,  $\beta - v^2 > 0$  and  $v < 0$ .  
Let  $s > \frac{3}{2}$ ,  $\epsilon < 1$  and

 $(B_o, \rho_o, u_o) \in H^{s+1}(\mathbb{R}) \times H^s(\mathbb{R}) \times H^s(\mathbb{R}).$ 



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$$(B_o, \rho_o, u_o) \in H^{s+1}(\mathbb{R}) \times H^s(\mathbb{R}) \times H^s(\mathbb{R}).$$

Then there exists  $T_o > 0$  independent of  $\epsilon$  such that the Zakharov-Rubenchik possesses a unique solution

$$(B^{(\epsilon)},\rho^{(\epsilon)},u^{(\epsilon)})\in\mathcal{C}^o([0;T_o];H^{s+1}(\mathbb{R})\times H^s(\mathbb{R})\times H^s(\mathbb{R})).$$

#### Main Theorem

Assume 
$$\tilde{\omega} < 0$$
,  $\beta - v^2 > 0$  and  $v < 0$ .  
Let  $s > \frac{3}{2}$ ,  $\epsilon < 1$  and

$$(B_o, \rho_o, u_o) \in H^{s+1}(\mathbb{R}) \times H^s(\mathbb{R}) \times H^s(\mathbb{R}).$$

Then there exists  $T_o > 0$  independent of  $\epsilon$  such that the Zakharov-Rubenchik possesses a unique solution

$$(B^{(\epsilon)}, \rho^{(\epsilon)}, u^{(\epsilon)}) \in \mathcal{C}^{o}([0; T_{o}]; H^{s+1}(\mathbb{R}) \times H^{s}(\mathbb{R}) \times H^{s}(\mathbb{R})).$$

Furthermore, if  $u_o - v\rho_o = -k|B_o|^2$  and  $\beta\rho_o - vu_o = k\frac{v}{2}|B_o|^2$ ,

$$B^{(\epsilon)} \rightarrow B \text{ in } C^o([0; T]; C^2_{loc})$$

where B is the solution to the NLS equation (15) for initial data  $B(0,x) = B_o(x)$ .

Key: if  $\tilde{\omega} < 0$ ,  $\beta - v^2 > 0$  and v < 0, putting

$$(V, F, G) := (\epsilon \partial_x^{-1} (u + \frac{v}{2}\rho)_t, u - v\rho + k|B|^2, \beta \rho - vu + k\frac{v}{2}|B|^2)$$

and

$$(\alpha, \beta, \gamma, \delta) := \sqrt{2}(\operatorname{Re}(B), \operatorname{Im}(B), \operatorname{Re}(B_x), \operatorname{Im}(B_x)),$$
$$Y = (V, F, G, \alpha, \beta, \gamma, \delta)$$

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satisfies the perturbed symmetric hyperbolic system:

$$Y_t + \left(\frac{1}{\epsilon}M + N(Y)\right)Y_x + R(Y) + AY_{xx} = 0.$$

Here, M, N(Y) are symmetric matrixes, A is antisymmetric and R(Y) is a nonlinear term.

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We use Friedrich's theory for symmetric hyperbolic systems:

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This theory is known to work in the quasi-linear case:

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Here, we will extend it to the case where the "perturbation"

 $AY_{XX}$ 

is present.

For a fixed function W we consider the problem

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We prove the following Lemma:

#### Lemma

Let  $s > \frac{3}{2}$  and  $W(x, t) \in C(\mathbb{R}, H^{s}(\mathbb{R})^{7})$ . There exists a one parameter semigroup  $\{U(t)\}_{t\geq 0}$  acting on  $H^{s}(\mathbb{R})^{7}$ :

$$\begin{array}{rcccc} U(t): & H^{s}(\mathbb{R})^{7} & \to & H^{s}(\mathbb{R})^{7} \\ & & Y_{o} & \to & U(t)Y_{o} \end{array}$$

which generates the solution  $Y(x,t) = U(t)Y_o \in C(\mathbb{R}_+, H^s(\mathbb{R})^7) \cap C^1(\mathbb{R}_+, H^{s-2}(\mathbb{R})^7)$  of the I.V.P. (2) for initial data  $Y_o$ . For a fixed function W we consider the problem

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$$\|U(t)f\|_{s} \leq e^{CT \sup_{0 \leq t \leq T} \|W(t)\|_{s}^{2}} \|f\|_{s}, \quad t \in [0; T].$$
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Applying  $\Lambda^s = (1 - \partial_x^2)^{\frac{s}{2}}$  to (2) and taking the inner product with  $\Lambda^s$ :

$$< \Lambda^{s} Y_{t}, \Lambda^{s} Y > + \frac{1}{\epsilon} < M \Lambda^{s} Y_{x}, \Lambda^{s} Y > +$$
  
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$$\int \langle A\Lambda^{s}Y_{xx}, \Lambda^{s}Y \rangle = -\int \langle A\Lambda^{s}Y_{x}, \Lambda^{s}Y_{x} \rangle = 0.$$

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•  $\int < A\Lambda^{s}Y_{xx}, \Lambda^{s}Y >= -\int < A\Lambda^{s}Y_{x}, \Lambda^{s}Y_{x} >= 0$ .  
Hence

$$\frac{d}{dt} \|Y(t)\|_s^2 = -\int <\Lambda^s(N(W(t))Y_x), \Lambda^s Y \geq C(t) \|Y(t)\|_s^2$$

where  $C(t) = C \|W(t)\|_s^2$ .

## End of the proof of the lemma

$$Y_t + \left(\frac{1}{\epsilon}M + N(Y)\right)Y_x + R(Y) + AY_{xx} = 0.$$

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We prove the existence of a fix-point for the application

$$\Psi : E(T) \rightarrow C([0; T], H^{s}(\mathbb{R}^{7}))$$
$$W \rightarrow Y = U(t)Y_{o} + \int_{0}^{t} U(t-\tau)R(W(\tau))d\tau,$$

where  $E(T) = \{W \in X ; \|W\|_X \le 2K\}$ , is a closed convex subset of  $X(T) = C([0; T]; H^s(\mathbb{R}^7))$  and K is such that  $\|Y_o\| \le K$ . ( $\Psi$  is a contraction for T small enough).

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\sup_{0\leq t\leq T}\|Y(t)\|_{s}\leq 2K
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We obtain a solution such that

 $\sup_{0 \le t \le T} \|Y(t)\|_s \le 2K (\text{ we can prove that } \sup_{0 \le t \le T} \|Y_t(t)\|_{s-2} \le C)$ 

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End of the proof of the main theorem:

$$Y = (V^{(\epsilon)}, F^{(\epsilon)}, G^{(\epsilon)}, \alpha^{(\epsilon)}, \beta^{(\epsilon)}, \gamma^{(\epsilon)}, \delta^{(\epsilon)}),$$

 $F^{(\epsilon)} = u^{(\epsilon)} - v\rho^{(\epsilon)} + k|B^{(\epsilon)}|^2 \text{ and } G^{(\epsilon)} = \beta\rho^{(\epsilon)} - vu^{(\epsilon)} - k\frac{v}{2}|B^{(\epsilon)}|^2.$ 

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We have

$$G_T^{(\epsilon)} + \frac{1}{\epsilon} G_X^{(\epsilon)} - \beta(\gamma_X^{(\epsilon)} - \alpha^{(\epsilon)} \delta_X^{(\epsilon)}) = 0.$$

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We have

$$G_{\mathcal{T}}^{(\epsilon)} + \frac{1}{\epsilon} G_{\mathcal{X}}^{(\epsilon)} - \beta(\gamma_{\mathcal{X}}^{(\epsilon)} - \alpha^{(\epsilon)} \delta_{\mathcal{X}}^{(\epsilon)}) = 0.$$

Hence  $\|G_X^{(\epsilon)}\|_{s-2} \leq C\epsilon$ . By the Gagliardo-Nirenberg inequality,

$$\|G^{(\epsilon)}\|_{\infty} \leq C \|D^{s-1}G^{(\epsilon)}\|_{o}^{\frac{1}{2(s-1)}} \|G^{(\epsilon)}\|_{o}^{1-\frac{1}{2(s-1)}} \leq C\epsilon^{\frac{1}{2(s-1)}}.$$

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We obtain the same kind of estimates for  $F^{(\epsilon)}$ :  $F^{\epsilon}, G^{\epsilon} \to 0 \text{ in } C([0; T] \times \mathbb{R}) \text{ (Strong topology).}$ 

$$u_{\epsilon} = (\alpha^{(\epsilon)}, \beta^{(\epsilon)}, \gamma^{(\epsilon)}, \delta^{(\epsilon)})$$

 $u_{\epsilon}$  is bounded in  $C^{o}([0; T]; H^{s}(\mathbb{R}) :$ 

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 $u^{(\epsilon)} \rightarrow u \text{ in } L^{\infty}(0, T, H^{s+1}) \text{ (weak*)}$ 

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But  $u_t^{(\epsilon)}$  is also bounded in  $C^o([0; T]; H^{s-2}(\mathbb{R}))$ :

By a standard argument (Arzela Ascoli Theorem in time+Rellich compactness Theorem in space+interpolation),

 $u^{(\epsilon)} \to u \text{ in } \mathcal{C}^{o}([0; T], H^{s-\epsilon}_{loc})(\text{strong topogy}).$ 

Taking the limit and putting  $B^{(\epsilon)} = \alpha^{(\epsilon)} + i\beta^{(\epsilon)}$  :

$$B^{(\epsilon)} \to B \text{ in } \mathcal{C}^{o}([0; T], H^{s+1-\epsilon}_{loc}),$$

where  ${\boldsymbol B}$  satisfies

$$i\partial_T B + \omega B_{XX} + rac{kv}{4(eta - v^2)}|B|^2 B = 0.$$

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The convergence takes place in  $C^{\circ}([0; T]; C_{loc}^2)$  by the Sobolev imbedding

$$H^{s}(\mathbb{R}) \hookrightarrow \mathcal{C}^{k}(\mathbb{R}) \quad s > rac{1}{2} + k$$

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### THE END

Thank you for your attention.